Efficient Rh^{II} binaphthol phosphate catalysts for enantioselective intramolecular tandem carbonyl ylide formation–cycloaddition of α -diazo- β -keto esters

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Catalytic enantioselective tandem carbonyl ylide formation– cycloadditions of α -diazo- β -keto ester 1 using 0.5 mol% dirhodium tetrakis(1,1'-binaphthyl-2,2'-diyl phosphate) catalysts 7–9 and 14 to give the cycloadduct 3 in good yields and up to 90% ee are described.

There are currently few methods to achieve catalytic enantioselective 1,3-dipolar cycloadditions, despite the potential utility of such asymmetric transformations.¹ In 1997 we reported the first observations of catalytic enantioselective tandem carbonyl ylide formation–cycloaddition (up to 53% ee) using unsaturated α -diazo- β -keto esters.² For example, reaction of α -diazo- β -keto ester **1** using 1 mol% Rh₂(*S*-DOSP)₄ **2** in hexane at room temperature gave cycloadduct (+)-**3**† in 93% yield and 52% ee (Scheme 1).³



More recently, research groups led by Doyle,⁴ Ibata⁵ and Hashimoto⁶ have reported conceptually related (but intermolecular) asymmetric carbonyl ylide cycloadditions. The asymmetric induction in these cycloadditions was low (< 30% ee), aside from the work by Hashimoto using α -diazo ketones with DMAD as the dipolarophile, where ees up to 92% were reported (Scheme 2, R¹ = H, R² = Ph, absolute sense of asymmetric induction not determined).



Although we have screened a number of chiral rhodium carboxylate catalysts with α -diazo- β -keto ester **1**,⁷ none have delivered asymmetric induction levels close to those observed with Rh₂(*S*-DOSP)₄ **2**. Also, applying the optimised catalyst– solvent combination for intermolecular cycloaddition of α diazo ketones with DMAD reported by Hashimoto⁶ [Rh₂(*S*-BPTV)₄ **5**, PhCF₃, *cf*. Scheme 2] to α -diazo- β -keto ester **1** at 25 °C resulted in only essentially racemic cycloadduct **3** (90% yield, 1% ee). Furthermore, cycloadduct **6** (R¹ = CO₂Et, R² =

Me) was obtained in only 33% ee under the same conditions in the reaction of α -diazo- β -keto ester 4 (R¹ = CO₂Et, R² = Me) with DMAD [α -diazo ketone 4 (R¹ = H, R² = Me) gave cycloadduct in 80% ee].⁶ These latter results indicate that ee is rather sensitive to variation in the electronic structure of the dipole. A variety of chiral, non-racemic dirhodium carboxylates and carboxamidates have been extensively examined as asymmetric catalysts in a number of diazocarbonyl transformations.⁴ However, in seeking to develop more efficient catalysts for asymmetric carbonyl ylide formation-cycloaddition, we were attracted to the isolated reports in 1992 by Pirrung⁸ and McKervey⁹ concerning 1,1'-binaphthyl-2,2'-diyl phosphate catalysts $Rh_2(S-BNP)_4$ (ent-7) and $R\bar{h}_2(R-$ (BNP) BNP)₂(O₃CH)₂·5H₂O respectively for diazocarbonyl decomposition. C-H insertion and cyclopropanation were among the asymmetric processes investigated (up to 60% ee). Here we communicate our preliminary studies on such catalysts which lead to improved enantioselectivities in tandem carbonyl ylide formation–cycloaddition of α -diazo- β -keto esters.



Initial investigation of Pirrung's structurally well-defined catalyst $Rh_2(R$ -BNP)₄ **7** with α -diazo- β -keto ester **1** in hexane at 25 °C gave an immediate improvement in ee of the cycloadduct (+)-**3** (64% ee, Table 1, entry 1) compared with $Rh_2(S$ -DOSP)₄ **2** (52% ee), even though $Rh_2(R$ -BNP)₄ **7** was only partially soluble in hexane at 25 °C. Interestingly, asymmetric induction was maintained in CH₂Cl₂ at 25 °C (65% ee, entry 2); this compares with 8% ee previously obtained using $Rh_2(S$ -DOSP)₄ **2** in CH₂Cl₂.² Whilst $Rh_2(R$ -BNP)₄ **7** remained soluble in CH₂Cl₂ at 0 °C, no improvement in ee was observed (64%, entry 3). The results with $Rh_2(R$ -BNP)₄ **7** prompted a study of the effects of structural variation of the binaphthyl core on enantioselectivity.

Substitution at the 3,3'-positions was first examined using $Rh_2(R-DMBNP)_4$ 8, which was prepared (79%) by an analo-

Table 1 Effect of catalyst on the yields and enantioselectivities of formation of cycloadduct 3 from α -diazo- β -keto ester 1

Entry	Catalyst	Solvent	$T/^{\circ}\mathrm{C}$	Yield	of 3 (%) Ee of 3 (%) ^{<i>a</i>}
1	7	hexane	25	65	64
2	7	CH_2Cl_2	25	83	65
3	7	CH ₂ Cl ₂	0	55	64
4	8	CH_2Cl_2	25	50	7
5	9	hexane	25	34	66
6	9	CH_2Cl_2	25	67	58
7	9	CH_2Cl_2	0	36	61
8	14	CH_2Cl_2	25	80	68
9	14	hexane	25	76	81
10	14	hexane	0	81	88 (89)
11	14	hexane	-15	66	90 (90)

^a Ees were determined on the methyl ester [obtained from 3 by hydrolysisesterification (TFA, CH2Cl2, then MeOH, TsOH)] by 1H NMR analysis of the split methoxy signal using Pr(hfc)₃. Ees in parentheses were determined on the benzyl oxime ether (O-benzylhydroxylamine hydrochloride, NaOAc, MeOH) of the methyl ester by HPLC analysis (Daicel Chiralpak AD, 10% EtOH-hexane) of the major geometric isomer.

gous procedure8 to Rh₂(R-BNP)₄ from Rh₂(OAc)₄ by ligand exchange using the known 3,3'-dimethyl-1,1'-binaphthyl-2,2'diyl hydrogen phosphate.¹⁰ However, reaction of Rh₂(R-DMBNP)₄ 8 with α -diazo- β -keto ester 1 led to no cycloadduct in hexane and a low ee (7%) of (+)-3 in CH_2Cl_2 (Table 1, entry 4), possibly due to steric congestion at the axial binding sites on the dirhodium core, which (in CH₂Cl₂) might also facilitate catalyst release to give the free ylide for cycloaddition. Substitution at the 6,6'-positions has been a successful tactic to alter asymmetric induction with binaphthyl-based catalysts.¹¹ $Rh_2(R-DBBNP)_4$ 9, available from 6,6'-dibromo-1,1'-binaphthyl-2,2'-diyl hydrogen phosphate,¹² induced similar ees to $Rh_2(R-BNP)_4$ 7 (entries 5–7). With the primary aim of investigating a more hydrocarbon-soluble catalyst, Rh₂(R-DDBNP)₄ was synthesised according to Scheme 3.



Scheme 3 Reagents and conditions: i, C12H25MgBr, Ph2P(CH2)3PPh2-NiCl₂ (1 mol%), Et₂O, reflux, 48 h; ii, TMSCl, NaI, MeCN, PhCH₃, 40 °C, 2 h (89%); iii, POCl₃, Py, 25 °C, 1 h, then H₂O, NaHCO₃; iv, Rh₂(OAc)₄, PhCl, reflux, 5 h.

The known bisether 1013 was cross-coupled14 with commercially available dodecylmagnesium bromide (40-75%, Scheme 3). Deprotection of the resultant didodecyl bisether 11 using TMSI¹⁵ gave diol **12** (89%). Formation of the acid **13** (91%) from the diol 12 under standard conditions followed by ligand exchange¹⁶ gave Rh₂(*R*-DDBNP)₄ 14 (69%).[‡] Although only a

slight rise in the ee of (+)-3 was noted with $Rh_2(R$ -DDBNP)₄ 14 in CH₂Cl₂ (Table 1, entry 8) compared with Rh₂(R-BNP)₄ 7 at 25 °C, the new catalyst was significantly more effective in hexane (81% ee, entry 9). Moreover, catalyst solubility and activity were maintained in hexane at 0 °C and asymmetic induction rose to give the cycloadduct (+)-3 in 81% yield and 89% ee (entry 10). A similar ee (90%) was observed on conducting the reaction at -15 °C (entry 11) whereas reaction at -30 °C was very slow and gave a complex product mixture from which no cycloadduct was isolable.

Our results indicate that dirhodium tetrakis(1,1'-binaphthyl-2,2'-diyl phosphate) catalysts can be superior to the more commonly utilised carboxylates and carboxamidates in asymmetric transformations of diazocarbonyl compounds and deserve to be more fully investigated.

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Notes and references

[†] The absolute configuration of the predominant cycloadduct enantiomer (+)-3 formed using α -diazo- β -keto ester 1 and Rh₂(S-DOSP)₄ 2 is as shown in Scheme 1 and was determined by crystallographic analysis following hydrolysis using TFA, esterification with (1S)-endo-(-)-borneol, oxime formation using HONH₂ with the major diastereomer and finally reaction with 3,5-dinitrobenzoyl chloride. Crystal data for (+)-3: $C_{28}H_{33}N_3O_9$, M =555.58, orthorhombic, a = 6.415(2), b = 19.871(5), c = 21.298(8) Å, U =2714.8 Å³, T = 190 K, space group $P2_12_12_1$ (no. 19), Z = 4, μ (Cu-K) = 0.81 mm^{-1} ; $R_w = 0.040$ (3133 independent reflections), R = 0.046 [I > 0.046]3σ(I)]. CCDC 182/1422. See http://www.rsc.org/suppdata/cc/1999/2185/ for crystallographic data in .cif format.

‡ Selected data for 14: $[\alpha]_{D}^{25}$ +60.9 (c 0.03 in CHCl₃); $\delta_{H}(400 \text{ MHz}; \text{CDCl}_{3};$ CHCl₃) 0.89 (24H, t, J 6.7), 1.21-1.34 (144H, m), 1.68-1.71 (16H, m), 2.75 (16H, t, J 7.5), 7.18 (8H, d, J 8.7), 7.43 (8H, d, J 8.7), 7.56 (8H, d, J 8.9), 7.65 (8H, s) and 7.77 (8H, d, J 8.9); δ_C(100 MHz; CDCl₃; CDCl₃) 14.1 (Me), 22.7, 29.4, 29.5, 29.6, 29.6, 29.7, 31.2, 31.9, 35.8 (11 × CH₂), 121.2 (CH), 121.6 (quat.), 126.7 (CH), 127.1 (CH), 128.0 (CH), 130.4 (CH), 130.7 (quat.), 132.0 (quat.), 139.9 (quat.) and 147.2 (quat.).

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